



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

APPLICANT: T.C. Jacoby, Jr.
SERIAL NO.: 09/773,803
FILED: February 2, 2001
EXAMINER: Garg, Yogesh C.
DOCKET NO.: TCJC 7812 US
GROUP ART UNIT: 3625
FOR: Computerized Commission Based
Trading Operations

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 30, 2004

Mark E. Books
Mark E. Books, Reg. No. 40,918

6/30/04
Date of Signature

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

June 30, 2004
St. Louis, Missouri

AMENDMENT B

Sir:

In response to the Office Action of April 1, 2004, please enter the following amendments:

- Amendments to the Claims begin on Page 2.
- Detailed Remarks begin on Page 14.

07/09/2004 HVUDNG1 00000069 09773803

01 FC:2201 43.00 OP
02 FC:2202 81.00 OP

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JUL 13 2004

GROUP 3600



Docket No.: TCJC 7812 US

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In re application of: T.C. Jacoby, Jr.	
Serial No.: 09/773,803	Group No.: 3625
Filed: February 2, 2001	Examiner: Yogesh C. Garg
For: COMPUTERIZED COMMISSION BASED TRADING OPERATIONS	

St. Louis, Missouri
Date: June 30, 2004

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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GROUP 3600

Sir:

Transmitted herewith is:

- ☒ An amendment in the above-identified application in response to the Office Action filed April 1, 2004;
- ☒ Drawing amendment in connection with the response to the Office Action of April 1, 2004
- ☒ A fee of \$124.00 is required to cover extra claims.

Small Entity Status:

- ☒ Applicant claims small entity status.
- ☐ Applicant DOES NOT claim small entity status.

The fee has been calculated as shown:

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate – Other Than A Small Entity	Rate – Small Entity	Additional Fee
Total Claims	37 -	28 =	9	\$18.00	\$9.00	\$81.00
Indep. Claims	5 -	4 =	1	\$86.00	\$43.00	43.00

Total Additional Fee:

\$124.00

☒ A check in the amount of \$124.00 is attached.

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Mark E. Books
Mark E. Books— Reg. No.:40,918

6/30/04
Date

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17, which may be required by this paper to Deposit Account 162201. *Duplicate copies of this sheet are enclosed.*

Mark E. Books

Mark E. Books

Registration No: 40,918